10/588605 AP20 Rec'd PCT/PTO 07 AUG 2006

Docket No.: 074782-0022 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Tohru SAITOH, et al. : Confirmation Number: Not Yet Assigned

Application No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: August 07, 2006 : Examiner: Not Yet Assigned

For: SEMICONDUCTOR NANOWIRE AND SEMICONDUCTOR DEVICE

INCLUDING THE NANOWIRE

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

Copies of the foreign search reports are attached for the Examiner's information.

Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is

JAP20 Rec'd PCT/PTO 07 AUG 2006

believed that Applicants have met all requirements regarding duty of disclosure under 37

CFR 1.56. Acknowledgement and consideration of these documents are respectfully

requested.

Please note this is a PCT application in the entry of the National Phase in the U.S.

However, to ensure that these references are available to the Examiner, we are providing

copies of these references herewith. Since the Search Reports were from the JPO and EPO

search authorities, copies of these references should have been supplied to the USPTO under

the trilateral agreement and are believed to be in the file of the above identified application

and readily available to the Examiner.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Please recognize our Customer No. 20277

as our correspondence address.

Michael E. Fogarty

Registration No. 36,139

600 13<sup>th</sup> Street, N.W. Washington, DC 20005-3096

Phone: 202.756.8000 MEF:sln

Facsimile: 202.756.8087

Date: August 7, 2006

2

:AP20 Rec'd PCT/PTO 0 SHEER 2016

## INFORMATION DISCLOSURE CITATION IN AN **APPLICATION**

ATTY. DOCKET NO. 074782-0022

SERIAL NO. Not Yet Assigned 10/588605

**APPLICANT** 

Tohru SAITOH, et al.

(PTO-1449)					FILING DATE GROUI August 07, 2006 Not			Yet Assigned	
			U	.S. PATEN	Γ DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code2 (11 known)  US 2002/0130311 A1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document  Lieber et al.			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
				09/19/2002			Corre	Corresponds to JP 2004 507104	
		US	2002/0163079 A1	11/07/2002	Awano		<u> </u>		_
		US 2003/0089899 A1 US 2004/0005723 A1		05/15/2003 01/08/2004	Lieber et al. Empedocles et al.				<del></del>
		US	2005/0142766 A1	06/30/2005	Hareland et	al.			•
				FOREIGN PA	TENT DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number 4-Kind Codes (if known)  JP 2004-507104  JP 2005-510711  WO 03/046536 A1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Colu Where R Figures	elevant	Transla Yes	ition No
				03/04/2004	PRESIDENT AND FELLOWS OF HARVARD COLLEGE	Corresponds to US 2002/0130311 A1		English Abstract Only	
				04/21/2005	SONY	Correspo WO 03/0 A	046536	English Abstract Only	
				06/05/2003	SONY	Corresponds to JP 2005-510711		English Abstract Only	
		WC	2004/032191 A2	04/15/2004	NANOSYS, INC.			English Abstract Only	
		WC	2004/032193 A2	04/15/2004	NANOSYS, INC.			English Abstract Only	
		WO 02/080280 A1		10/10/2002	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA			English Abstract Only	
			OTHER AF	RT (Including Autho	or, Title, Date, Pertinent Pages, E	(tc.)			
EXAMINER'S INITIALS	CITE NO.		al, serial, symposium, cata		S), title of the article (when appropers), ye(s), volume-issue number(s), p				
		Duan, X., et al. "High-Performance Thin-Film Transistors Using Semiconductor Nanowires and Nanoribbons," Nature, Vol. 425, September 18 2003, pp. 274-278.							'
		Chen, X., et al. "Electron Mobility Enhancement in Strained SiGe Vertical <i>n</i> -type Metaloxide-semiconductor Field-effect Transistors," American Institute of Physics, Vol. 78, No. 3, January 15, 2001, pp. 377-379.							
		EXA	MINER			DATE CONSI	DERED		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.